

Helping global financial institutions to automate regulatory compliance, with AI and Machine Learning

CUBE is a Regulatory Technology (RegTech) solution that captures financial services regulations from multiple jurisdictions, extracts regulatory obligations (rules), maps these rules onto your internal policies and procedures, and then automates the process of maintaining compliance, as regulations change.

We utilize Artificial Intelligence (AI) and Machine Learning to achieve the smartest and most efficient automation possible.

The results? Vast reductions in regulatory compliance costs and risk of non-compliance.

Global financial institutions utilize the CUBE platform for a wide variety of regulatory intelligence and change purposes, to identify policies and procedures that are impacted by changes in rules and regulations.

Examples include:

- **Regulatory radar** – know what regulations are in force globally, how they impact your business, and what is coming next
- **Enforcement tracking** – gain visibility of high risk regulations, and likely penalties for non-compliance
- **Regulatory gap reporting** – identify regulatory gaps within your own policies and procedures, as they occur
- **Compliance controls automation** – map compliance controls to regulation and automate verification and evidence processes



- **Enterprise policy compliance** – ensure global policies are aligned to the global regulatory environment, and keep up to date with changing regulatory obligations
- **Know your records** – understand which records have regulatory requirements, identify the rules that must be applied, and apply them automatically
- **Privacy and data transfer** – build a lens to see where privacy impacts your business, and your data, and establish the controls required to ensure compliant data transfer
- **Compliant customer communications** – ensure that all customer-facing documents and communications are produced and maintained in a regulatory-compliant format
- **Cross-border selling** – understand the rules, when doing business cross-border, and build the right controls into procedures and systems

“Ignorance is no longer bliss, it is a criminal offence.”

Banking Technology RegTech Supplier Report 2017

How can CUBE help?

CUBE’s regulatory data lake encompasses all regulations that impact financial services organizations. We have created a wide range of proprietary rule sets, including:

- Books and records
- Compliance risk
- AML and KYC
- Conduct
- Mis-selling
- Cyber/information security

...and many more

Seamless integration

Utilizing our open API, CUBE fits easily into your existing enterprise-wide compliance infrastructure. CUBE maps regulatory intelligence across all of your systems and processes, identifying all areas at risk of non-compliance.

One-stop compliance automation

CUBE is the only RegTech to deliver a fully-automated regulatory intelligence and change platform, that spans the entire end-to-end compliance lifecycle, across all jurisdictions, lines of business and product types.

Awards and accolades



RegTech Directory since 2016

For more information contact CUBE
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